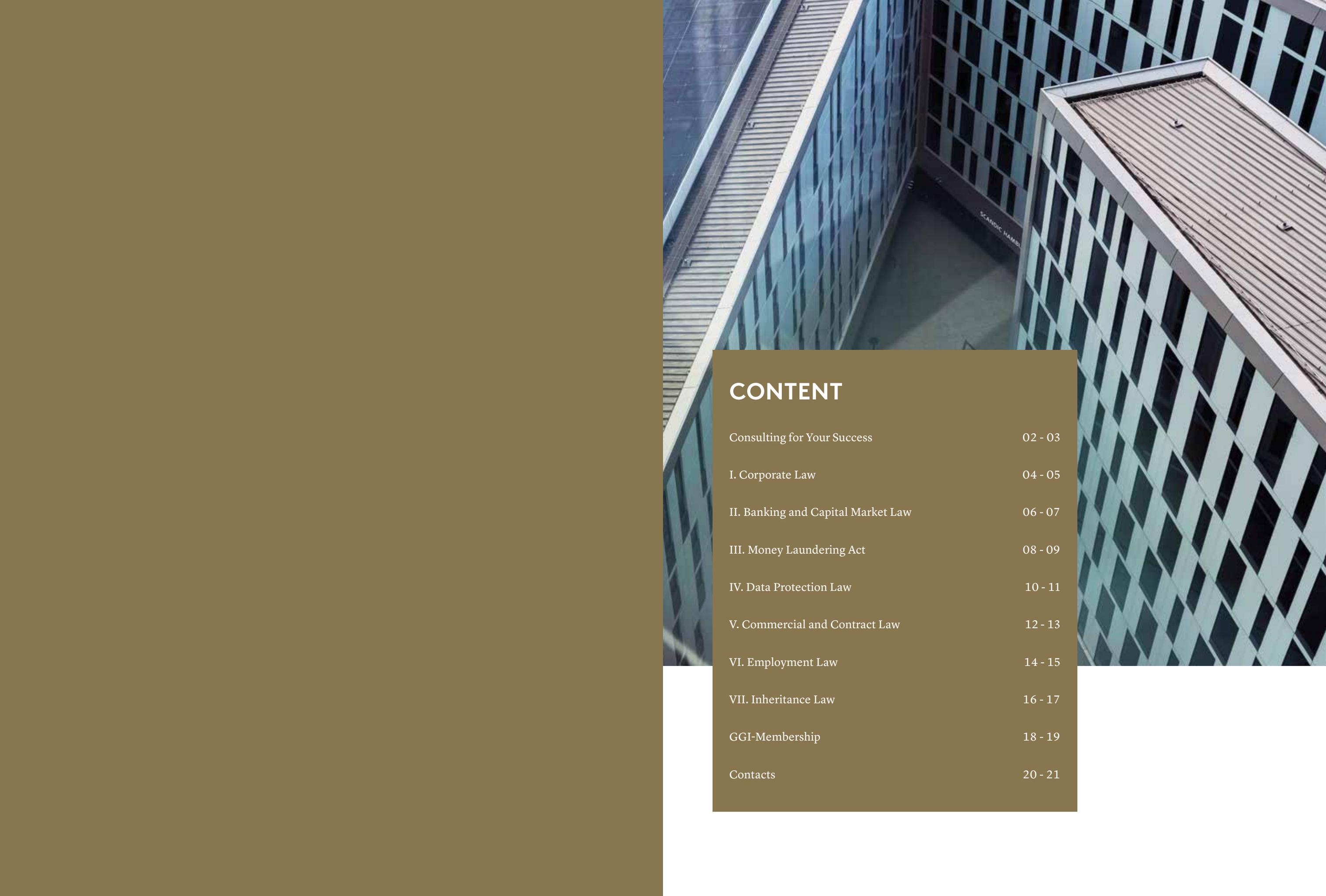




**LEGAL CONSULTING**



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## CONSULTING FOR YOUR SUCCESS



We support clients from all sectors to be legally positioned the best way by using the approach of formative advice. Personally. Forward looking. Innovative for more than 40 years.

In the complex field of legal consultancy, we continue to understand ongoing innovations and pitfalls. We create added value by finding the optimal strategy for your needs. For this purpose, we combine traditional legal advice with specialist areas such as banking and capital market law. In addition, we support you as, for example, an expert on how to deal with money laundering issues or as an external data protection officer. The bottom line is: We combine legal advice with entrepreneurial thinking. Medium-sized companies and listed companies as well as private individuals trust in nbs partners' advice.

Our foundation for every legal assignment is, on the one hand, the combination of decades of experience and continuous training. On the other hand, it is about the personal dialogue with our clients. Regardless of whether we are helping you design employment contracts or funds, no case is like another. Therefore, no advice is the same as another.

If necessary, we can connect our specialist knowledge from the fields of legal advice as well as auditing and tax consulting, since as a partnership we can access extensive in-house expertise. For our clients, this means quick solutions and decisions – even for complex and interdisciplinary matters.

## I. CORPORATE LAW

Corporate law holds plenty of opportunities for growth, optimization and prospects – and thus it reveals possibilities for your company. Along the way, we will be at your side – from, for example, starting a business to a capital market-oriented company. Our consulting services integrate all types of companies. In addition, it is important for you as an entrepreneur to know the meaning and effects of changes in equity structure. Together with you, we will take a close look and identify the opportunities and risks of capital measures. Whether you are a new client or a company we have been serving for many years, we are your experienced partner in all matters of corporate law. In case of restructuring, corporate contracts or representing your interests in conflicts in the circle of shareholders, we make sure that you will always be holding the reins regarding corporate law.

Our service portfolio also includes:

- Founding of companies, including the creation of articles of association and rules of procedure
- Structuring of management policies (working partnerships)
- Planning and mentoring of restructuring measures
- Establishment/change of corporate structures
- Group law



## WE DO OUR BEST FOR YOUR FINANCES



Our service portfolio also includes:

- Representation in legal disputes
- Enforcement of your own and defence against external claims
- Capital and conversion measures
- Advice in the field of the investment code
- Advice and supervision of financing measures
- Advice on compliance with ad hoc publicity
- Assistance in the distribution of voting rights

## II. BANKING AND CAPITAL MARKET LAW

The courts repeatedly conclude that capital market publication obligations are not properly complied with. The reason for this is usually insufficient internal organisation. We support you in the implementation of capital market legal compliance. Particularly in capital market-oriented companies, very dynamic developments occur on a regular basis. Our experts will tailor your internal structure to minimize the risk of regulatory action, investor lawsuits and criminal investigations as we know that you want to concentrate fully on the development and expansion of your company. Therefore, you will benefit from our legal advisors' wealth of experience.

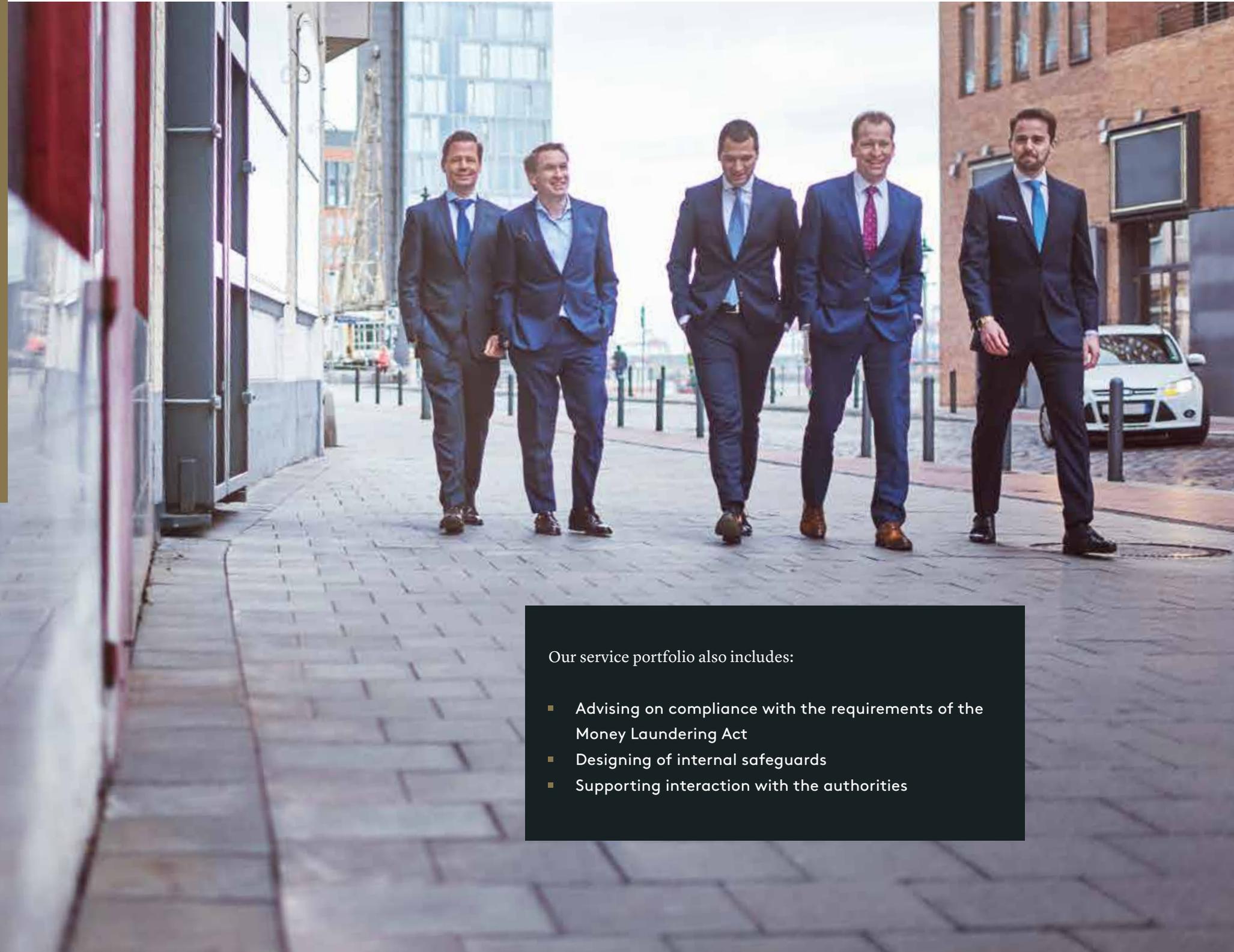
### III. MONEY LAUNDERING ACT

Based on the fourth EU Money Laundering Directive, the new Money Laundering Act came into force in 2017 and is aimed to counteract organized crime and the financing of money laundering. Companies are requested to contribute.

In particular, cash transactions were restricted in order to prevent the entry of an illegally acquired asset into the lawful cycle of assets. In addition, companies must report on their corporate structure through the electronic transparency register, that way making it difficult for shell companies to do business. Thus, the Money Laundering Act for a variety of industries includes a number of legal obligations. In order for your company not to tap into any of these and other pitfalls, we will give you advice on compliance with the requirements of the Money Laundering Act. We will thoroughly check to what extent the regulations apply to your company. Together, we will create internal structures to meet all guidelines.

As your external money laundering officer, we will also be your point of contact for supervisory and law enforcement authorities.

### OUR VERSATILITY IS YOUR ADVANTAGE



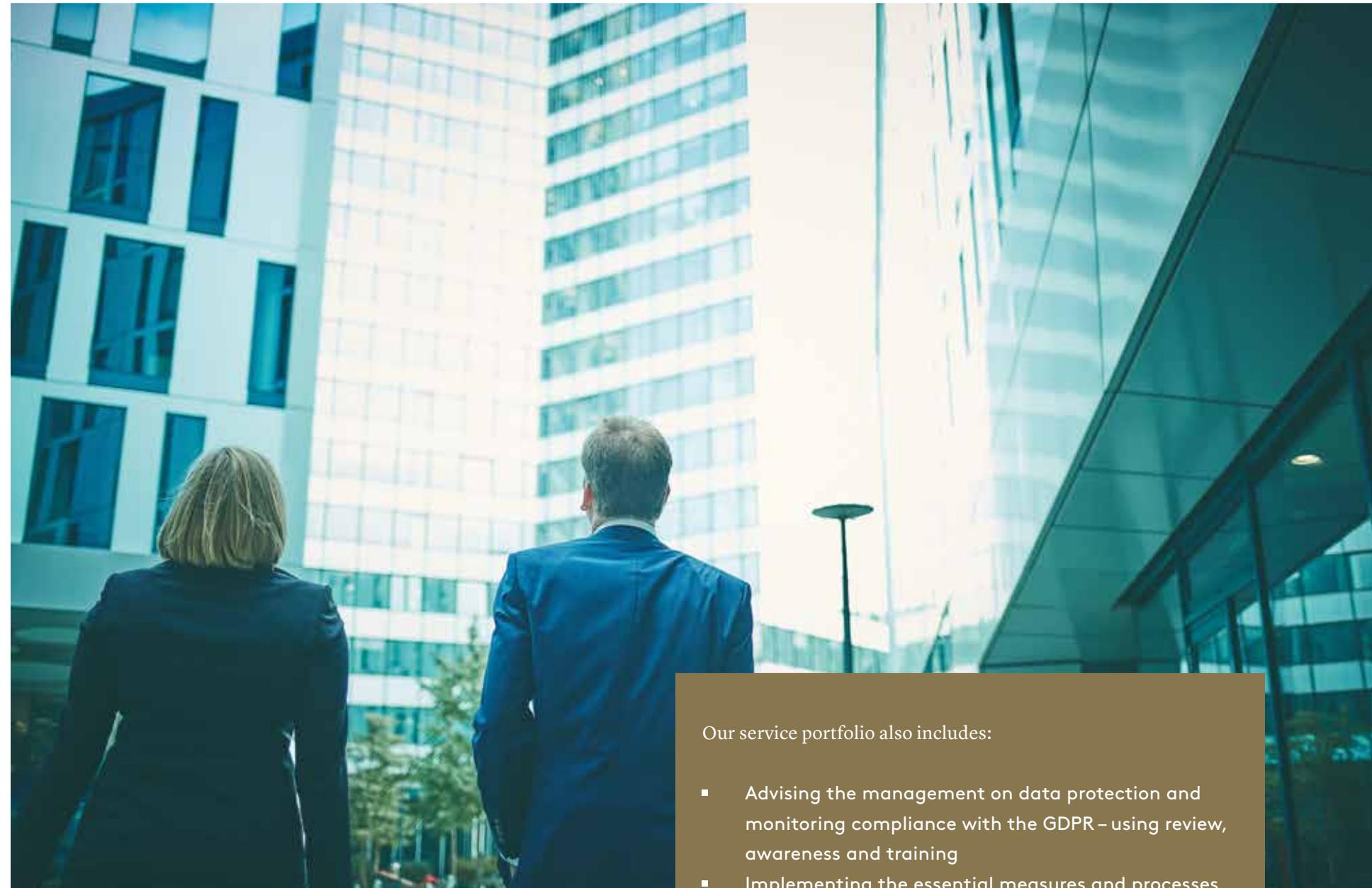
Our service portfolio also includes:

- Advising on compliance with the requirements of the Money Laundering Act
- Designing of internal safeguards
- Supporting interaction with the authorities

## IV. DATA PROTECTION LAW

**Since the introduction of the EU General Data Protection Regulation (GDPR), there has been an urgent need for action for companies of all sectors and sizes since the GDPR comes with extensive new requirements for data protection.**

At the heart of the new regulation is the entrepreneur's accountability. In case of doubt, this person must prove that he or she is correctly managing and protecting personal data. If that is not the case, he or she is charged with large fines. In addition, the correct implementation of the GDPR is also important in terms of expansion plans: The new Data Protection Act applies not only to European countries, but also to companies that are based outside of Europe and wish to offer their products and services within the EU. We advise you in a forward-looking and comprehensive manner on all matters relating to the GDPR. Our experienced experts will identify legal risks at an early stage, resolve them and also represent you in the event of a dispute if you need to prove that your company is following DSGVO.



Our service portfolio also includes:

- Advising the management on data protection and monitoring compliance with the GDPR – using review, awareness and training
- Implementing the essential measures and processes
- Taking on the position of external data protection officer
- Communicating with the data protection supervisory authorities

**YOUR CUSTOMER  
DATA WILL BE IN  
SAFE HANDS**



## V. COMMERCIAL AND CONTRACT LAW

Whether it's about alleged standard contracts, general terms and conditions or complex sales contracts, a forward-looking approach that takes possible conflict potential into account minimizes the risk of disputes. To ensure that your operational business is not hampered or even blocked by disputes, it must be secured. Our job is not only to ensure your legal security, but also to strengthen trade and sales. We advise you individually and use the synergy effects of nbs partners' interdisciplinary team. Our network spans all areas of business life: from reviewing a real estate purchase agreement to tailor-made contracts to judicial and extra-judicial disputes with customers, suppliers and distributors.

Our service portfolio also includes:

- Advice on contractual matters
- Design of general terms and conditions, cross-border activities, Incoterms and UN sales law
- Securing Internet domains, consulting in the fields of online marketing and e-commerce
- Product liability
- Enforcement of your own and defence against indemnity claims by commercial agents (§ 89b HGB)



## VI. EMPLOYMENT LAW

Employment law innovations and the case law of the Federal Labour Court create a constant need for corporate adjustments. If this is not perceived, terminations or warnings, for example, can quickly lead to legal disputes. Also, if a termination or a transfer of business is carefully prepared or if the correct clauses are contained in the employment contract, disputes and expensive court costs can be avoided. Together with you, we will check which strategy is the best for you and your company. Our experienced lawyers will support your decision-makers and HR managers in designing labour and employment contracts. For this, we will clarify any types of questions arising in regards to the constitutional law for commerce, and also represent your interests during unjust dismissal suits. nbs partners' range of employment services covers the entire individual and collective employment law.

Our service portfolio also includes:

- Negotiation and preparation of termination agreements
- Representation during unjust dismissal suits
- Constitutional law for commerce
- Collective bargaining law
- Employment law due diligence

WE STRUCTURE WORK  
ENVIRONMENTS

## VII. INHERITANCE LAW

It is not rare that the right of inheritance turns into a disruptive factor for families as well as for the preservation of assets and life benefits. The reason for this is that existing regulatory options are not or not properly being used.

While being aware of the entry points of inheritance disputes, classic loopholes and tax optimization possibilities, we will advise you on how to transfer assets and values in a prudent and discreet manner. In doing so, we will also keep an eye on matters such as living wills, precautionary powers and guardian appointments.

Our service portfolio also includes:

- Safeguarding the freedom of action of the surviving spouse (in particular compulsory portion regulations, reservation of dispositions and avoidance of joint heirships)
- Ensuring the application of the German Inheritance Law for international transfers of testators /estates (for example due to occupational mobility or a holiday property abroad)
- Lifetime transfers and structuring in the context of tax optimization of large and very large assets
- Consideration of joint accounts, lifelong benefits and contractual third-party benefits
- Estate planning under mandatory waivers and severance pay, especially in patchwork constellations and entrepreneurial assets
- Consultancy in the field of charitable inheritance
- Preparation of family or external company succession



## HIGHLY COMMITTED INTERNATIONAL ADVISORS.

**nbs partners** is an independent member of GGI, one of the top ten international accounting, consulting and law firm alliances. The membership lets us utilise experts around the world who are able to provide consultancy on their respective national legislation. As part of GGI, we have access to high-quality member companies in practically every financial and commercial centre around the world. Our international network enables us to provide optimal support for our clients' businesses.

For further information about GGI, please visit [www.ggi.com](http://www.ggi.com).





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